



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 040301/0539

RECEIVED  
FEB 23 2004  
TC 2600

Applicant: Atsushi INOUE et al.  
Title: MOBILE IP COMMUNICATION SCHEME INCORPORATING  
INDIVIDUAL USER AUTHENTICATION  
Application No.: 09/146,952  
Filing Date: September 4, 1998  
Examiner: Duong, Duc T.  
Art Unit: 2663

RECEIVED  
FEB 26 2004  
Technology Center 2600

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.56 and 37 CFR §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO-SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR 1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR 1.97 and 1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The instant Information Disclosure Statement is believed to be filed before the mailing date of the final action under 37 C.F.R. §1.113 or a notice of allowance. Accordingly, pursuant to 37 C.F.R. §1.97(c), a certification or fee is required. Applicant is providing a certification below in lieu of the fee. If for some reason a fee is required (such as a final Office Action or notice of allowance being mailed out prior to this filing), then the PTO is authorized to obtain the necessary fee from Foley & Lardner Deposit Account #19-0741.

**CERTIFICATION**

The undersigned hereby certifies in accordance with 37 C.F.R. §1.97(e)(1) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement.

**RELEVANCE OF EACH DOCUMENT**

Documents A1, A2 and A3 listed on the Form PTO SB/08 submitted with this Information Disclosure Statement were cited in a Japanese Office Action issued on December 18, 2003, for a counterpart Japanese patent application.

Document A1 relates to facilitating the attachment of a security function and performing collation without attaching new equipment by providing a means to perform the collation of an accessed IC card by a reader/writer.

Document A2 relates to providing effective communication service of individual correspondence by permitting individual identifying information to equipment attribute information of a communication equipment.

Document A3 relates to a study on control information transmission method in personal communication using an ATM network.

Applicants respectfully request that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Respectfully submitted,

February 24, 2004  
Date

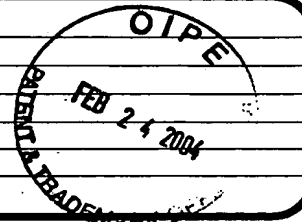
Phillip J. Artizola  
Phillip J. Artizola  
Registration No. 38,819

FOLEY & LARDNER  
Washington Harbour  
3000 K Street, N.W., Suite 500  
Washington, D.C. 20007-5109  
Telephone: (202) 672-5300  
Facsimile: (202) 672-5399

Should additional fees be necessary in connection with the filing of this paper, or if a petition for extension of time is required for timely acceptance of same, the Commissioner is hereby authorized to charge Deposit Account No. 19-0741 for any such fees; and applicant(s) hereby petition for any needed extension of time.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  Date Submitted: February 24, 2004 (use as many sheets as necessary)				<b>Complete if Known</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>09/146,952</td> </tr> <tr> <td>Filing Date</td> <td>09/04/1998</td> </tr> <tr> <td>First Named Inventor</td> <td>Atsushi INOUE</td> </tr> <tr> <td>Group Art Unit</td> <td>2663</td> </tr> <tr> <td>Examiner Name</td> <td>D. Duong</td> </tr> <tr> <td>Attorney Docket Number</td> <td>040301-0539</td> </tr> </table>		Application Number	09/146,952	Filing Date	09/04/1998	First Named Inventor	Atsushi INOUE	Group Art Unit	2663	Examiner Name	D. Duong	Attorney Docket Number	040301-0539
Application Number	09/146,952																
Filing Date	09/04/1998																
First Named Inventor	Atsushi INOUE																
Group Art Unit	2663																
Examiner Name	D. Duong																
Attorney Docket Number	040301-0539																
Sheet	1	of	1														



U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	A1	JP	05-241998			09/21/1993		ABS
	A2	JP	09-153891			06/10/1997		ABS

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A3	KENTARO HAYASHI et al., "A Study of Control Information Transmission Method in Personal Communication Using an ATM Network", pages 51-56 (1992).	

RECEIVED

FEB 26 2004

Technology Center 2600

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.